

P21587.A04

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Naoyuki NISHIKAWA et al.

Group Art Unit: Unknown

Serial No : 09/926,355

Examiner: Unknown

Filed : October 19, 2001

For : TRICYCLIC COMPOUNDS

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir :

Pursuant to the duty of disclosure set forth in 37 CFR § 1.56 and in accordance with the provisions of 37 CFR §§ 1.97 and 1.98, Applicants hereby bring the following information to the attention of the Examiner:

Journal of Medicinal Chemistry, 40, p. 2643 (1997), which is cited and discussed in the specification beginning at page 59, last paragraph;

Heterocycles, 45, p. 585 (1997), which is cited and discussed in the specification beginning at page 67, second paragraph; and

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~~EP 0 749 962 A1, which is cited and discussed in the specification beginning at page 4,~~  
second full paragraph.

Copies of the above-noted documents are enclosed. Each document is listed on the attached PTO-1449 form to permit the Examiner to indicate consideration thereof.

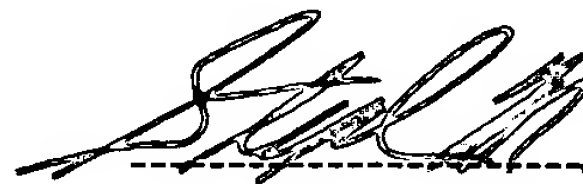
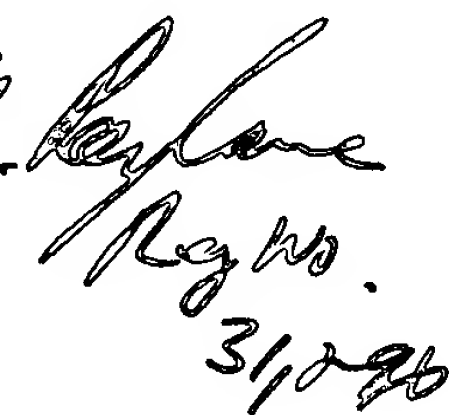
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The Examiner is respectfully requested to consider the documents cited above and to confirm such consideration by initialing in the appropriate spaces on the PTO-1449 form and returning a copy of the initialed form to Applicants.

Applicants note that an Office Action on the Merits has not issued in the instant application, and no fee for consideration of this statement is believed to be due. However, if an Office Action on the Merits has issued, and is crossing this statement in the mail, the undersigned hereby authorizes the Commissioner to charge any fee necessary for consideration of this statement, including any payment under 37 C.F.R. 1.17(p), to be charged to Applicant's Deposit Account No. 19-0089.

Should there be any questions regarding the above or the present application, the Examiner is invited to contact the undersigned at the below-listed number.

Respectfully submitted,  
Naoyuki NISHIKAWA et al.

  
Bruce H. Bernstein  
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February 19, 2002  
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Form PTO-1449		U.S. Department of Commerce Patent and Trademark Office		Atty. Docket No. P21587		Serial No. 09/926,355						
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use several sheets if necessary)				Applicant Naoyuki NISHIKAWA et al.								
				Filing Date October 19, 2001		Group Not Known						
U.S. PATENT DOCUMENTS												
EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
FOREIGN PATENT DOCUMENTS												
		DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
		0	7	4	9	9	6	2	12/27/96	E.P.O.		
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)												
		1	Journal of Medicinal Chemistry, 40, p. 2643-2652, 1997.									
		2	Heterocycles, 45, p. 585-596, 1997.									
EXAMINER					DATE CONSIDERED							
*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.												